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BEFORE THE
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

In the Matter of:) DOCKET NO. CWA 10-2008-0131
DAVID R. SWEEZEY,) CONSENT AGREEMENT AND FINAL ORDER
Respondent,)
Anchorage, Alaska.) *··

I. STATUTORY AUTHORITIES

- 1.1. This Consent Agreement and Final Order ("CAFO") is issued under the authority vested in the Administrator of the United States Environmental Protection Agency ("EPA" or "Complainant") by Section 309(g)(2)(B) of the Clean Water Act ("CWA" or "Act"), 33 U.S.C. § 1319(g)(2)(B).
- 1.2. The Administrator has delegated the authority to issue the Final Order contained in Part V of this CAFO to the Regional Administrator of EPA Region 10, who in turn has redelegated this authority to the Regional Judicial Officer.
- 1.3. Pursuant to Section 309(g)(2)(B) of the Act, 33 U.S.C. § 1319(g)(2)(B), and in accordance with the "Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties," 40 C.F.R. Part 22, EPA hereby issues, and David R. Sweezey ("Respondent") hereby agrees to issuance of, the Final Order contained in Part IV of this CAFO.

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II. PRELIMINARY STATEMENT

- 2.1. On July 16, 2008, EPA commenced this proceeding against Respondent pursuant to Section 309(g) of the CWA, 33 U.S.C. § 1319(g), by filing an administrative complaint ("Complaint").
- 2.2. The Complaint alleged that Respondent's violations of the CWA had subjected Respondent to penalties and proposed that Respondent be assessed an administrative civil penalty.
- 2.3. The jurisdictional basis for proposing the assessment of a civil penalty is set forth in the Complaint and is incorporated herein by reference.
- 2.4. In accordance with Section 309(g)(1) of the GWA, 33 U.S.C. § 1319(g)(1), and 40 C.F.R. § 22.38(b), the State of Alaska has been given an opportunity to consult with EPA regarding the assessment of an administrative civil penalty against Respondent.
- 2.5. In accordance with Section 309(g)(4)(A) of the CWA, 33 U.S.C. § 1319(g)(4)(A), and 40 C.F.R. § 22.45, EPA has issued a public notice to inform the public of its intent to assess an administrative civil penalty against Respondent and to invite public comment. EPA has received no public comments on this proceeding.

III. CONSENT AGREEMENT

The parties to this action hereby stipulate as follows:

- 3.1. Respondent enters into this Consent Agreement to compromise and settle the disputed claims alleged in the Complaint without further proceedings in this matter.
 - 3.2. Respondent admits the jurisdictional allegations in the Complaint.

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- 3.3. Respondent neither admits nor denies the specific factual allegations contained in the Complaint.
- 3.4. Respondent expressly waives the right to contest the allegations contained in the Complaint and to appeal the Final Order contained herein.
- 3.5. Pursuant to Section 309(g), 33 U.S.C. § 1319(g), EPA has determined and Respondent agrees that an appropriate penalty to settle this action is in the amount of TEN THOUSAND DOLLARS (\$10,000.00). This penalty amount has been agreed upon in consideration of the statutory penalty factors identified in Section 309(g)(3) of the CWA, 33 U.S.C. § 1319(g)(3).
- 3.6. Respondent consents to the issuance of the Final Order recited herein and to payment of the civil penalty cited in the foregoing paragraph within 30 days of the effective date of this Consent Agreement and Final Order.
- 3.7. Payments under this CAFO shall be made by cashier's check or certified check payable to the order of "Treasurer, United States of America" and shall be delivered to the following address:

U.S. Environmental Protection Agency Fines and Penalties Cincinnati Finance Center P.O. Box 979077 St. Louis, MO 63197-9000

Respondent shall note on the check the title and docket number of this case

3.8. Respondent shall serve photocopies of the check described above on the Regional Hearing Clerk and EPA at the following addresses:

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Regional Hearing Clerk
U.S. Environmental Protection Agency
Region 10
1200 Sixth Avenue,
Suite 900 (Mail Stop ORC-158)
Seattle, Washington 98101

U.S. Environmental Protection Agency Alaska Operations Office Attn: Heather Dean 222 W. 7th Avenue, Box #19 Anchorage, Alaska 99513-7588

- 3.9. If Respondent fails to pay the penalty assessed by this CAFO in full by the due dates set forth in Paragraph 3.6, above, Respondent may be subject to a civil action to collect the assessed penalty under the CWA, together with additional interest, fees, costs, and penalties described below. In any collection action, the validity, amount, and appropriateness of the penalty shall not be subject to review.
- 3.10. If Respondent fails to pay any portion of the penalty assessed by this CAFO in full by the due date set forth in Paragraph 3.6, above, Respondent shall be responsible for payment of the amounts described below:
 - 3.10.1. Interest. Pursuant to CWA Section 309(g)(9), 33 U.S.C. 1319(g)(9), any unpaid portion of the assessed penalty shall bear interest at a rate established by the Secretary of Treasury pursuant to 31 U.S.C. § 3717(a)(1) from the effective date of the Final Order set forth in Part IV, below, provided, however, that no interest shall be payable on any portion of the assessed penalty that is paid within thirty (30) days of the effective date of the Final Order.
 - 3.10.2. Attorneys Fees, Collection Costs, Nonpayment Penalty. Pursuant to CWA Section 309(g)(9), 33 U.S.C. § 1319(g)(9), if Respondent fails to pay on a timely

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basis the amount of the penalty set forth in Paragraph 3.5, above, Respondent shall pay (in addition to any assessed penalty and interest) attorneys fees and costs for collection proceedings and a quarterly nonpayment penalty for each quarter during which such failure to pay persists. Such nonpayment penalty shall be in an amount equal to twenty percent (20%) of the aggregate amount of Respondent's penalties and nonpayment penalties which are unpaid as of the beginning of such quarter.

- 3.11. The penalty described in Paragraph 3.5, above, including any additional costs incurred under Paragraph 3.10, above, represents an administrative civil penalty assessed by EPA and shall not be deductible for purposes of federal taxes.
- 3.12. The undersigned representative of Respondent certifies that he or she is fully authorized to enter into the terms and conditions of this CAFO and to bind Respondent to this document.
- 3.13. Except as described in Subparagraph 3.10.2, above, each party shall bear its own costs in bringing or defending this action.
- 3.14. The provisions of this CAFO shall bind Respondent and his agents, servants, employees, successors, and assigns.

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2	3.15. The above provision	ns are STIPULATED AND AGREED upon by Respondent
3	and EPA.	
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5	FOR RESPONDENT	
6	DATED:	DAYER D. CHARLES
7	DATED.	DAVID R. SWEEZEY
8	E/ 13 = 0	2/1/
9	Feb. 12, 2009	Robert K. Reiman, Attorney
10		Law Offices of Robert K. Reiman Counsel for David R. Sweezey.
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12	FOR COMPLAINANT	
13		
14	DATED:	U.S. ENVIRONMENTAL PROTECTION AGENCY Region 10
15	7 12.55	((K.)
16	213.05	Ankur K. Tohan
17		Assistant Regional Counsel Counsel for Complainant
18		Counsel for Complatuant
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incorporated by reference into this Final Order. Respondent is hereby ordered to comply with

The terms of the foregoing Consent Agreement are hereby ratified and

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4.1.

the foregoing terms of the settlement.

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This CAFO shall constitute a settlement by EPA of all claims for civil penalties 4.2. pursuant to the CWA for the violations alleged in Part III, above. In accordance with 40 C.F.R. § 22.31(a), nothing in this CAFO shall affect the right of EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law. This CAFO does not waive, extinguish, or otherwise affect Respondent's obligations to comply

with all applicable provisions of the CWA, the CWA regulations, and/or any CWA permits.

4.3. This Final Order shall become effective upon filing.

SO ORDERED this 35th day of Fibrus

M. SOCORRO RODRIGUEZ

Regional Judicial Officer

U.S. Environmental Protection Agency Region 10

CERTIFICATE OF SERVICE

The undersigned certifies that the original of the attached CONSENT AGREEMENT AND FINAL ORDER in In the Matter of: David R. Sweeezey, DOCKET NO.: CWA-10-2008-0131 was filed with the Regional Hearing Clerk on February 25, 2009.

On February 25, 2009 the undersigned certifies that a true and correct copy of the document was delivered to:

Ankur Tohan, Esquire
US Environmental Protection Agency
1200 Sixth Avenue, ORC-158
Suite 900
Seattle, WA 98101

Further, the undersigned certifies that a true and correct copy of the aforementioned document was placed in the United States mail certified/return receipt on February 25, 2009, to:

Robert K. Reiman
Law Offices of Robert K. Reiman
619 E. Ship Creek Avenue
Suite 250
Anchorage, AK 99501

A true and correct copy, via pouch mail and fax:

Hon. Susan L. Biro, Chief Administrative Law Judge Office of Administrative Law Judges U.S. Environmental Protection Agency Mail Code 1900L 1200 Pennsylvania Ave., NW Washington, DC 20460-2001

DATED this 25th day of February 2009.

Carol Kennedy

Regional Hearing Clerk

EPA Region 10